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PTO/SB/31 (04-07)
Approved for use through 09/30/2007. OMB 0651-0031

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## NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Docket Number (Optional) 17405US04

| THE BOARD OF PATENT APPEALS AN   | D INTERFERE  | NCES            | 17405           |                      |  |  |  |  |
|--|--|-----------------|-----------------|----------------------|--|--|--|--|
| I hereby certify that this correspondence is being   | In re Application of                                   |                 |                 |                      |  |  |  |  |
| facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage  | Fei Xie  |                 |                 |                      |  |  |  |  |
| as first class mail in an envelope addressed to "Mail  | Application Numb                                       | er              |                 | Filed                |  |  |  |  |
| Stop AF, Commissioner for Patents, P.O. Box 1450,<br>Alexandria, VA 22313-1450" [37 CFR 1.8(a)]  | 10/651,428   |                 |                 | August 29, 2003      |  |  |  |  |
| on <u>April 23, 2008</u> .   |  |                 |                 |                      |  |  |  |  |
| Signature Michael T. Cun   | For METHODS OF RECORDING VOICE SIGNALS IN A MOBILE SET |                 |                 |                      |  |  |  |  |
| Typed or printed name Michael T. Cruz  | Art Unit Examiner Pan, Yuwen                           |                 |                 |                      |  |  |  |  |
| Applicant hereby appeals to the Board of Patent Appe   | eals and interference                                  | es from the     | decisio         | on of the examiner.  |  |  |  |  |
| The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))  |  |                 |                 | \$ <u>510.00</u>     |  |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:                  |  |                 |                 |                      |  |  |  |  |
| ☐ A check in the amount of the fee is enclosed.  |  |                 |                 |                      |  |  |  |  |
| Payment by credit card. Form PTO-2038 is attached.   |  |                 |                 |                      |  |  |  |  |
| ☐ The Director has already been authorized to charge fees in this application to a Deposit Account.  I have enclosed a duplicate copy of this sheet. |  |                 |                 |                      |  |  |  |  |
| ☑ The Director is hereby authorized to charge any fees wh<br>to Deposit Account No. <u>13-0017</u> I have enclosed a dup                             | • •  | •               | verpayn         | nent                 |  |  |  |  |
| ☐ A petition for an extension of time under 37 CFR 1.136(a   | a) (PTO/SB/22) is enc                                  | osed.           |                 |                      |  |  |  |  |
| WARNING: Information on this form may become public form. Provide credit card information and authorization  |  | ation should    | l not be        | Included on this     |  |  |  |  |
| I am the   |  |                 |                 | Michael T. Cruz      |  |  |  |  |
| applicant/inventor.  |  |                 |                 | Signature            |  |  |  |  |
| assignee of record of the entire interest.   |  |                 | Michael T. Cruz |                      |  |  |  |  |
| See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is e (Form PTO/SB/96)  | enclosed.  |                 | Т               | yped or printed name |  |  |  |  |
| ☑ attorney or agent of record.   |  |                 | 312-775-8000    |                      |  |  |  |  |
| Registration number <u>44,636</u> .  |  |                 |                 | Telephone number     |  |  |  |  |
| ☐ attorney or agent acting under 37 CFR 1.34.  |  |                 |                 |                      |  |  |  |  |
| Registration number if acting under 37 CFR 1.34.   |  |                 |                 | April 23, 2008       |  |  |  |  |
|  | ·  |                 |                 | Date                 |  |  |  |  |
| NOTE: Signatures of all the inventors or assignees of record of th Submit multiple forms if more than one signature is required, see                 |  | eir representat | ive(s) ar       | re required.         |  |  |  |  |

★Total of 1 form is submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (09-06)

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| TRANSMITTAL FORM  |   | Application Number   |  | 10/651,428      |   |                |  |  |  |  |
|---|---|--|--|-----------------|---|----------------|--|--|--|--|
|   |   | Filing Date  |  | August 29, 2003 |   |                |  |  |  |  |
| APR 2 9 2008 W  |   | First Named Inventor   |  | Fei Xie         |   |                |  |  |  |  |
|   |   | Art Unit   |  | 2618            |   |                |  |  |  |  |
|   |   | Examiner Name  |  | Pan, Yuwen      |   |                |  |  |  |  |
| Total Number of Pages in This Submission 5  |   | Attorney Docket Number   |  | 17405US04       |   |                |  |  |  |  |
| ENCLOSURES (check all that apply)   |   |  |  |                 |   |                |  |  |  |  |
| Fee Transmittal Form (1 page, in duplicate)  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  CD Nu  Drawin  Licens  Petitio  Provisi  Power Chang Addres  Termin  Request  CD Nu  Cortified Copy of Priority |   | Petition  Petition to Co Provisional Ap  Power of Atto Change of Co Address  Terminal Disc  Request for R  CD Number o | Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD Number of CD(s)  Landscape Table on CD |                 | After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Notice of Appeal - 1 page, in duplicate)  Proprietary Information  Status Letter  Return-Receipt Postcard  Other Enclosure(s) (please identify below): |                |  |  |  |  |
|   |   | Remarks  |  |                 |   |                |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |   |  |  |                 |   |                |  |  |  |  |
| Firm  |   |  |  |                 |   |                |  |  |  |  |
| Signature   | McAndrews Held & Malloy, Ltd.  Michael T. Guz |  |  |                 |   |                |  |  |  |  |
| Printed Name  | Michael T. Cruz                               |  |  |                 |   |                |  |  |  |  |
| Date  | April 23, 2008                                |  |  |                 |   |                |  |  |  |  |
| CERTIFICATE OF MAILING  |   |  |  |                 |   |                |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 23, 2008.   |   |  |  |                 |   |                |  |  |  |  |
| Name (Print/type)   | Michael T. Cruz                               | Registration No. (Att  | omey/Age   | nt)             | 44,636  |                |  |  |  |  |
| Signature   | Michael T.                                    | Crus   |  |                 | Date  | April 23, 2008 |  |  |  |  |

Approved for use through 6/30/2010. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete If Known Effective on 09/30/2007 10/651,428 Fees pursuant to the consolidated Appropriates Act. 2005 (H.R. 4818). **Application Number** FEE TRANSMITTAL Filing Date August 29, 2003 First Named Inventor Fei Xie for FY 2008 PR 2 9 2008 **Examiner Name** Pan, Yuwen 2618 Applicant claims small entity status. See 37 CFR 1.27 Art Unit 17405US04 TOTAL AMOUNT OF PAYMENT \$510.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check | Credit Card | Money Order | None | Other (please identify): Deposit Account Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy For the above-identified deposit account, the Director is hereby authorized to (check all that apply) Charge Fee(s) indicated below Charge Fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fees(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity Small Entity Application Type** Fee (\$) Fee(\$) Fees Paid(\$) Fee(\$) Fee(\$) Fee(\$) Fee(\$) Utility 310 155 510 255 210 105 Design 210 105 100 50 130 65 Plant 210 105 310 155 160 80 Reissue 310 510 255 155 620 310 Provisional 210 105 0 0 0 0 2. EXCESS CLAIM FEES Small Entity **Fee Description** Fee(\$) Fee(\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 210 105 Multiple dependent claims 370 185 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee(\$) -20 or HP <u>Fee</u> Fee Paid (\$) .HP = highest number of total claims paid for, if greater than 20 Indep. Claims **Extra Claims** Fee Paid (\$) -3 or HP HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets **Extra Sheets** Number of each additional 50 or fraction thereof Fee Paid(\$) Fee(\$) /50 (round up to a whole number) 4. OTHER FEE(S) Fee Paid(\$) Non-English Specification, \$130 fee (no small entity discount) Notice of Appeal Other (e.g., late filing surcharge): \$510.00

Signature 44,636 Telephone (312) 775-8000 Date Name (print/type) This collection of information is required by 37 CFR-136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark

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